

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT****(Under 37 CFR 1.97(b) or 1.97(q))**

Docket No.

128834-2

In Re Application Of: **Borst et al.**

Serial No.

10/638,094

Filing Date

8/7/2003

Examiner

Group Art Unit

Title: **DATA STORAGE MEDIUM AND METHOD FOR THE PREPARATION THEREOF**

Address to:

**Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450****37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

- ☐ the statement specified in 37 CFR 1.97(e);

**OR**

- ☐ the fee set forth in 37 CFR 1.17(p).

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**DATA STORAGE MEDIUM AND METHOD FOR THE PREPARATION THEREOF****Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **07-0862** as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

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I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F:

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I certify that this document and fee is being deposited on **11/7/2003** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature of Person Mailing Correspondence

Rebecca L. Blake

Typed or Printed Name of Person Mailing Certificate

\*This certificate may only be used if paying by deposit account.

*J. Michael Buchanan*  
Signature

Dated: **November 7, 2003****J. Michael Buchanan**

Registration No. 44,571

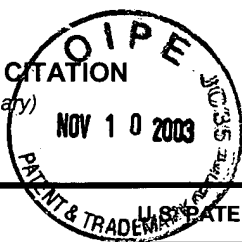
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# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)



ATTY DOCKET NO.

128834-A

SERIAL NO.

10/638,094

Borst et al.

FILING

8/7/2003

GROUP

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	2,999,835	9/12/1961	Goldberg			
	3,153,008	10/13/1964	Fox			
	3,169,121	2/9/1965	Goldberg			
	3,306,874	2/28/1967	Hay			
	3,306,875	2/28/1967	Hay			
	3,334,154	8/1/1967	Kim			
	3,642,946	2/15/1972	Grabowski et al.			
	3,847,867	11/12/1974	Heath et al.			
	3,850,885	11/26/1974	Takekoshi et al.			
	3,852,242	12/3/1974	White			
	3,855,178	12/17/1974	White			

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

			Kirk-Othmer, Encyclopedia of Chemical Technology, Second Edition, Vol. 16, pp. 272-281 (1968)

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>				ATTY DOCKET NO. <b>128834-<del>L</del></b>		SERIAL NO. <b>10/638,094</b>	
				Borst et al.			
				FILING <b>8/7/2003</b>		GROUP	

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		3,983,093	9/28/1976	Williams et al.			
		5,045,344	9/3/1991	E. Pinkhasov			
		4,001,184	1/4/1977	Scott			
		4,011,200	3/8/1977	Yonemitsu et al.			
		4,038,343	7/26/1977	Yonemitsu et al.			
		4,123,436	10/31/1978	Holub et al.			
		4,131,575	12/26/1978	Adelmann et al.			
		4,156,069	5/22/1979	Prevorsek et al.			
		4,210,841	7/1/1980	Vodicka et al.			
		4,363,844	12/14/1982	Lewis et al.			

FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>			

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<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>	ATTY DOCKET NO. <b>128834-1</b>	SERIAL NO. <b>10/638,094</b>
	Borst et al.	
	FILING <b>8/7/2003</b>	GROUP

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4,663,421	5/5/1987	Mark			
	5,034,458	7/3/1991	Serini et al.			
	5,378,284	1/3/1995	M. Geisler et al.			
	5,447,767	9/5/1995	Tanabe et al.			
	5,503,934	11/8/1995	Maas et al.			
	5,783,653	7/21/1998	Okamoto et al.			

**FOREIGN PATENT DOCUMENTS**

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							YES	NO

**OTHER DOCUMENTS** *(Including Author, Title, Date, Pertinent Pages, Etc.)*


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<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>	ATTY DOCKET NO. <b>128834-2</b>	SERIAL NO. <b>10/638,094</b>
	Borst et al.	
	FILING <b>8/7/2003</b>	GROUP

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5,849,087	12/15/1998	H. Kloberdanz et al.			
		6,110,544	12/30/1998	Yang et al.			
		6,347,016 B1	2/12/2002	Ishida et al.			
		6,355,723 B1	3/12/2002	van Baal et al.			
		6,379,757 B1	4/30/2002	Iacovangelo			
		6,436,503	8/20/2002	Cradic et al.			
		6,520,650	10/24/2002	Frazier et al.			
		US 2002/0048691 A1	4/25/2002	Davis et al.			
		US 2002/0094455 A1	7/18/2002	Feist et al.			

FOREIGN PATENT DOCUMENTS								
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				Borst et al.			
				FILING <b>8/7/2003</b>		GROUP	

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		US 2002/0197438 A1	12/26/2002	Hay et al.			
		US 2003/0044564 A1	3/6/2003	Dris et al.			
		10/638,099		Gallucci et al.			8/7/2003
		10/638,100		Borst et al.			8/7/2003
		10/638,145		Gallucci et al.			8/7/2003

FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

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